

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE 07-2004		ATTORNEY'S DOCKET NUMBER 287.00040101
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/507168
INTERNATIONAL APPLICATION NO. PCT/US03/06969	INTERNATIONAL FILING DATE 7 March 2003	PRIORITY DATE CLAIMED 8 March 2002

TITLE OF INVENTION
POLYMERIZATION OF A REACTIVE DILUENT IN THE PRESENCE OF AN EPOXY-AMINE MATERIAL, AND COATING COMPOSITIONS PREPARED THEREBY

APPLICANT(S) FOR DO/EO/US
HEUTS, Martin Peter Joseph et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☒ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV405490602US**
23. ☒ Other items or information:

Written Opinion (5 pgs); Itemized return-stamped postcard; Application Data Sheet (2 pgs); Replacement Claims (4 pgs); Communication (1 pg); and authorization to charge DEPOSIT ACCOUNT NO. 13-4895 in the amount of \$1220.00 for US Nat'l Stage Filing Fees

BEST AVAILABLE COPY

Applicant(s): HEUTS et al.

DT15 Rec'd PCT/PTO 08 SEP 2004

Docket No.: 287.00040101

Title: POLYMERIZATION OF A REACTIVE DILUENT IN THE PRESENCE OF AN EPOXY-AMINE MATERIAL, AND
COATING COMPOSITIONS PREPARED THEREBY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

U.S. NATIONAL STAGE PATENT APPLICATION

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ Transmittal Letter to the United States DO/EO/US Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390) (2 signed copies, 2 pages each)
☐ A signed/unsigned Declaration and Power of Attorney (_ pgs).
☒ Application Data Sheet (2 pgs).
☒ An itemized return postcard.
☒ An Assignment of the invention to Valspar Sourcing, Inc. and Recordation Form Cover Sheet (3 pages).
☐ Please charge the \$40.00 Assignment Recording Fee to Deposit Account No. _____
☐ A certified copy of a _ application, Serial No. __, filed _____, the right of priority of which is claimed under 35 U.S.C. §119.
☐ Computer readable form of "Sequence Listing." Applicants state that the paper copy form of the "Sequence Listing" section of the present application, and the computer readable form submitted herewith, are the same.
☒ Other: Amendment Before the International Preliminary Examining Authority (11 pages), Replacement Claims (4 pages), International Search Report (3 pages); and Written Opinion (5 pages) and Communication (1 page).
☒ Please charge DEPOSIT ACCOUNT NO. 13-4895 in the amount of \$1,220.00 to pay the filing fees.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

MUETING, RAASCH & GEBHARDT, P.A.

Customer Number: 26813

By: 

Name: Loren D. Albin

Reg. No.: 37,763

Direct Dial: 612-305-1225

Facsimile: 612-305-1228

CERTIFICATE UNDER 37 CFR §1.10:"Express Mail" mailing label number: EV405490602USDate of Deposit: 8 September 2004

I hereby certify that the Transmittal Letter and the paper(s) and/or fee(s), as described hereinabove, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 

Name: Jacquelyn K. Torborg

(LARGE ENTITY TRANSMITTAL UNDER RULE 1.10)

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10/507168

DT15 Rec'd PCT/PTO 08 SEP 2004

PATENT

Docket No. 287.00040101 (Valspar Docket No. 06 1574 0101)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): HEUTS et al.) Group Art Unit: Unknown
)
Serial No.: Unassigned) Examiner: Unassigned
(National Stage of PCT/US03/06969))
)

For: POLYMERIZATION OF A REACTIVE DILUENT IN THE PRESENCE OF AN
EPOXY-AMINE MATERIAL, AND COATING COMPOSITIONS PREPARED THEREBY

COMMUNICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Applicants have checked the box on Form PTO-1390 for a Basic National Filing Fee Under 37 CFR 1.492(a) of \$730.00. However, an International Preliminary Examination Report (IPER) has not been issued in the corresponding (above-identified) international (PCT) application. Accordingly, Applicants are unaware of whether or not all claims satisfy the provisions of PCT Article 33(1)-(4). If an IPER subsequently issues indicating that all claims do in fact satisfy the provisions of PCT Article 33(1)-(4), Applicants request a refund in the amount of \$630.00 (the difference in fees under 37 CFR 1.482) be credited to Deposit Account No. 13-4895.

Please contact the undersigned attorney with any questions.

CERTIFICATE UNDER 37 C.F.R. 1.10:

The undersigned hereby certifies that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jacquelyn K. Terborg
Jacquelyn K. Terborg
"Express Mail" mailing label number:
EV405490602US
Date of Deposit: 8 September 2004

Respectfully submitted for
HEUTS et al.

By
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Date

September 8, 2004

By:

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